



**An Institute for Civil Services**

# **IAS TOPPER'S TEST COPY**

## **SRISHTI MISHRA**

### **AIR 95**

### **CSE 2023**

### **ETHICS**



**8448496262**



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कृपया इस स्थान  
में प्रश्न संख्या के  
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Ethics Test 2 25<sup>th</sup> ~~August~~ September

Q1:-

A problem must be solved through  
2 basic lenses:-

1. The practical lens
2. The ethical lens

A combination of the 2 gives rise to  
sound public policy.

However, ethical lens can sometimes  
go against the interests of politicians and  
even civil servants, for example:-

① In a case where people must  
be displaced for public processes,  
civil servants find it easier to follow  
directions than doing a social impact  
assessment.

② Problems related to climate  
change and vulnerability are  
addressed based on acceptability  
in bureaucracy instead of fighting for  
rights of the voiceless.

50%  
by

First explain  
what is  
meant by  
critical analysis  
of problem



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③ Politicians find it easier to distribute freebies / alcohol / money rather than do actual development work.

you are  
not asked

However, this has led to criminalization and dirty politics along with weakening of the steel frame of the nation. There is thus a need for:

to analyze  
ethical analysis  
problem

① Internal democracy within State and National politics.

but explain  
why  
politicians &  
bureaucrats  
avoid it due

② Adequate oversight mechanism to ensure promises are delivered.

to their  
vested  
interests.

③ Legalization of Model Code of Conduct.

④ Mission Karmayogi to shift from rules based to roles based governance.

2

Civil Society & Polity are essential to India's progress. For an Atmanirbhar Bharat we need strong ethical principles and moral conduct.



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Q2:-

Personal and professional life  
are 2 different and mostly separate  
arenas of life of any individual.  
For a public servant, it has 2  
separate meanings:-

## Personal life

- Role as member  
of family (eg: mother,  
father, daughter, son, etc)  
and service to  
familial relations.

- Spiritual conviction  
can take centre  
stage - decide  
way of life.

- Mostly homogenous  
family - beliefs are  
acceptable

## Professional life

- Role as a civil  
servant with primary  
aim to work for people's  
development.

- Spiritual conviction  
must not interfere  
with decision making

- Society is heterogeneous -  
beliefs of one may  
not be acceptable  
beyond familial  
domain.

The first part

relates to

Spiritual conviction.

Analyze the term  
& explain

how blind

Spiritual conviction

affects

working of

civil servants



Blind following of spiritual conviction  
can lead to:-

① Biased decisions

eg: decisions related to family matters taken based on "Hindu" or "Muslim" way of life.

irrationality,

② Excessive positivity and ideal of forgiveness (high spiritual ideals) may condone crime and lead to lenient governance.

inebriety

etc!

Minimize Impact of Personal on Private

① Teach importance of secular governance in training. *how?*

② Understand diversity in society and need to be open minded and grounded

③ Understand fine line between personal & private spheres and responsibilities as a civil servant

spirituality is good as far as it aids governance but not when it creates bias & chaos.

adoption of due process, democratic procedure etc!

34h



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93:-

Duty ethics refers to the set rules of conduct and conscience that persons must follow as their duty within an institution.

## Administrative Stagnation - Reasons

### ① Material Causes of Stagnation:-

- infrastructural inadequacy
- lack of networking
- inadequate use of technology
- low training / oversight

### ② Ethical Causes of Stagnation:-

- Rise in corruption, red tapism, liberty.
- personal interest over public interest
- civil servants lose sight of ethical duties.

also lack of willingness, ambiguity of duty & task etc!

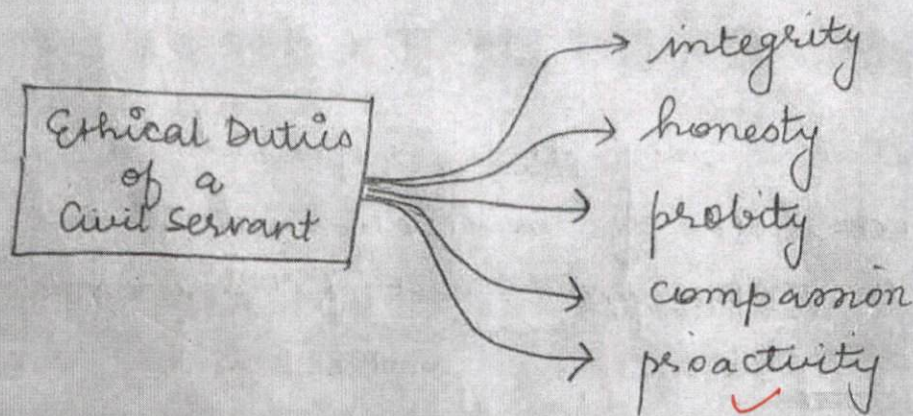


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## Duty Ethics to Resolve Admin's Stagnation :-

- ① Reminder to civil servants of their duty ethics ✓ *awareness of duty, well defined rules, regulations etc!*
- ② Increase efficiency of work and transparency in bureaucracy.
- ③ Increased interaction between government and citizens - feedback mechanisms through RTI, citizens charter.
- ④ Greater work done and developmental goals achieved. ✓

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Administration is the channel through which government policies are implemented. Duty Ethics can ✓ help keep civil servants on track & induce progress.





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Q4:-

Police Encounter refers to a situation in which ~~supposed~~ criminals or accused come ~~into~~ direct contact with the police and are killed by the police usually as a means to bring the matter to a swift end.

## Police Encounter & Rule of Law

- ① Encounter denies the accused the constitutional right to be produced before a ~~magistrate~~ and fight/defend ~~his~~/her case (Articles 20, 21, 22).
- ② Police has the job of arrests and filing FIRs, not delivering justice; that is dealt ~~with~~ by the court.
- ③ media sensationalism further separation of expands the case instead of a dignified means of resolving problem.

non-adoption  
due process,  
functions not  
followed  
etc.



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## Raising Police Accountability

### ① Preventive measures

a) Malimath Committee report  
recommendation on institutional  
overhaul

b) Training of police officers on  
duty ethics and procedural duties

c) need to eliminate political  
interference with India's police  
structure to ensure transparency

### ② Punitive measures

a) swift action against faulty  
officers

b) investigation of case by NIA/  
CB1 and punishment to those involved

Police are the symbols of public  
safety. Police Emblems are false  
justice and Rule of Law must be respected

discuss some of  
the supreme court  
guidelines,  
revision in  
police manual  
etc!

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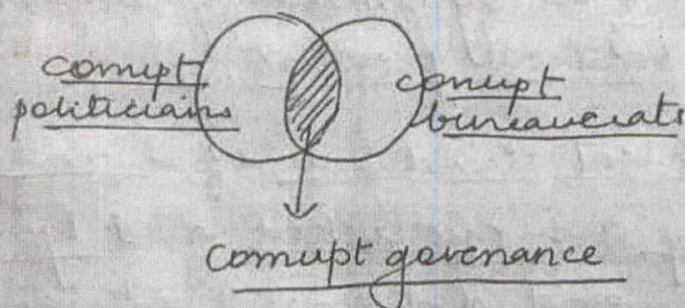
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Q5:-

India's politico-bureaucratic  
system has been infamous for its  
lack of transparency :-



Introduce the  
term political  
patronage briefly.

## Political Patronage of Bureaucracy

① Nepotism & inefficiency :-

a) unsuitable children of great  
politicians enter bureaucracy not  
knowing how to perform.

b) capable candidates are denied  
their rightful seats - potential  
of bureaucracy is lost.



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## ② Excessive interference and bias

a) Black money, money laundering  
lead to bribing of officials to  
do work in political interest.

b) civil servants primary aim of  
public good is systematically eroded  
due to political pressure.

c) ethical standards of honesty,  
integrity are overlooked and lying,  
cheating and dishonest work is  
condoned.

also reduces  
willingness,  
discipline,  
leads to  
negligent  
attitudes etc!

## ③ Corruption and Dependence on Political patronage for Work

a) needs of society are overlooked

b) whims & fancies of politicians  
decide development.

Political interaction & support should  
replace patronage for civil servants to  
deliver true progress to the poor  
and underprivileged.

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Q6:-

Villagers in my village were unwilling to ~~construct~~ toilet near their houses due to religious faith (toilets should be located far from households) and comfort in open defecation.

Introduce what you understand

One of the District Magistrates <sup>with</sup> persuasion and his officers came personally to the village to persuade the villagers <sup>its methods, tools etc.</sup>

- ① Toilets can reduce defecation in your fields.
- ② Toilets will be constructed outside of the houses, not inside.
- ③ Persuading women - they will find it easier and less time taking, leaving more time for household chores.
- ④ Reduced time wasted amongst children - can study more.
- ⑤ Better health and reduced disease.





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The villagers were thus convinced and  
toilets quickly constructed.

## Persuasion & Civil Service

① A tool of non-violent,  
empathetic change of mind.

"making ~~someone~~ do what you  
want because they want to do it"

Explain how  
persuasion

works, its  
impacts

② Alternative to coercion, force.

③ A tool against blind faith,  
ignorance.

differentiate from  
strict laws etc!

④ method of compassionate &  
citizen friendly implementation of  
schemes and programmes.

However, persuasion can sometimes  
be counteractive and seen as a  
brain-washing technique by villagers, etc.  
Nevertheless, persuasion is an  
essential communicative tool to  
help change mindsets & bring  
progress peacefully.

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Q7:-

As the District Magistrate of a particular district, I have a duty towards the wellbeing and freedom of the people residing ~~there~~ there.

Problems with Forceful Eviction :-

- ① Rights of residence of the people undermined (Article 19).
- ② Collusion between police and land mafia is illegal and unethical.
- ③ No social impact assessment before displacement and no system for rehabilitation.

As DM, I must take swift action :-

- ① The police must report to me on their activities based on which I will take strict legal action.
- ② Land mafia will be dealt with using police infrastructure support & appeal to peaceful actions.

won't you first analyze & ensure the report of media person are correct?



पिछले दो बार  
के अंशों में  
प्रश्नों में  
अंतर है  
इस वजह से  
प्रश्न संख्या व  
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③ I will do my best to stop the evictions by :-

- a) enhancing surveillance
- b) giving legal orders to police to ensure public order

④ I will resolve any land dispute that comes up through peaceful means.

⑤ If nothing works, I have the right as DM to implement AFSPA by declaring the district as a disturbed region and will take strict action under it to ensure rights of the innocent are not undermined.

AFSPA is a stringent measure and will only be my last resort in response to potential violence. However, the rights of the people will be protected.

Discuss  
what action  
you could take  
against the  
guilty police etc!

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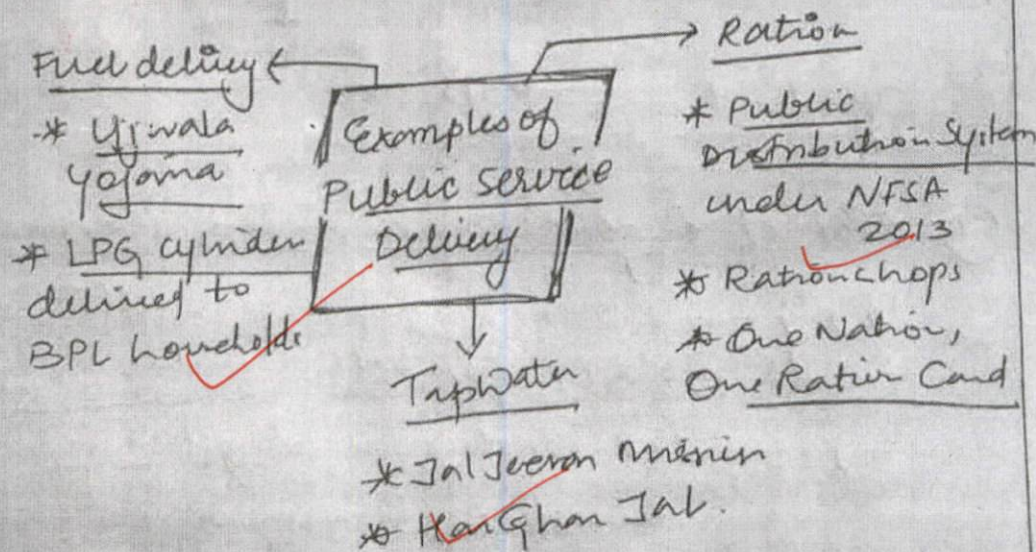
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Q8:-

Public service Delivery refers to the various governmental/administrative mechanisms adopted ~~by~~ for the purpose of delivering necessary services to beneficiaries throughout India.



Challenges to PSD :-

- ① Infrastructural bottlenecks  
eg: inadequate roads for delivery of ration on time, godowns, old ration shops, etc.  
broken pipes.



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## ② Technological Backlogs

eg: ghost beneficiaries, card  
identification problems, faulty  
database.

## ③ Procedural Corruption

eg: leakages, money laundering,  
hoarding, incomplete LPG  
refills.

bureaucratic  
attitude,  
ineffective service  
providers  
etc.

## Opportunities in PSD :-

### ① Rise of e-governance, good governance

- connecting governance with  
technology for better outcomes
- Digital India - digital &  
financial inclusion of poor

### ② SDG Localization - bring health (SDG 3), education (SDG 4) and equality (SDG 5) to every village & town of India

PSD can revolutionize human  
capital and raise India's potential  
for growth.

developing  
technology  
etc.



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Q9:-

Ethics in Public Service are essential for good governance but are often overlooked. The extent of ethics is often determined by work culture.

Why is it difficult to remain ethical in civil services?

- (\*) Unlimited political interference creates unethical pressure on civil servants & fear of authority as well rise in dishonesty and opaque administration.
- (\*) Personal/political interests take precedence over public interest.
- (\*) Nepotism, favouritism, rampant corruption and exploitation are the norm, good behaviour is thus actively discouraged.

political patronage,  
inadequate reporting,  
enumeration etc.



## Role of Work Culture in Strengthening Ethical Values

### ① Strong leadership

- a) people learn by example
- b) leaders "show the way" and "set the tone" of the department/organization
- c) honest leaders expect honest work

### ② Culture of Carrot & Stick

- a) Reward good behaviour
- b) punish unethical behaviour

### ③ Positive, open minded, growth-oriented mindset, environment

- a) allows people to express concerns
- b) remind people of values and vision and mission
- c) solution oriented and growth seeking work environment

ensures  
accountability  
rewards  
good  
work

A space that encourages ethical behaviours is the centre of progress, proactivity and probity in governance

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Q10.

## Stakeholders

- 1) I, managing Director of Company
- 2) workers - employees in Company
- 3) Adjoring villagers
- 4) National economy & its growth

Also introduce  
importance of  
CSR.

As, MD of the Company, I have  
various choices regarding my use  
of funds :-

- ① Training of villagers within  
units of the manufacturing company.
- ② skilling :- upskilling, reskilling  
of individuals to enhance employment
- ③ provide infrastructure / materials  
to upgrade skills and employability  
eg: make villagers capable of self-  
employment.

What  
be the  
or ex-  
like invest-  
with govt,  
NGOs  
are



## Consequences of my Actions

### Positive Consequences

- \* Enhance villagers' human capital by giving them skills.
- \* Positive nexus between my company and the villagers:-

Company - gets profits due to more employees, expansion of business.

villagers - get income for work - financially secure.

- \* Inclusive growth & meeting CSR objective:- creation of RURBAN society with parameters for development in socio-economic terms.

### Negative Consequences

- \* Skilled individual may switch to other companies/ migrate in search of better work.

- \* Greater expenditure on wages for new employees - raise company costs and endangers future profits.

- \* Replacement of older workers will be met with resistance.

- \* Excessive expenditure on infrastructure when actual need is for socio-economic support.

How would you ensure better image, reputation of your company?



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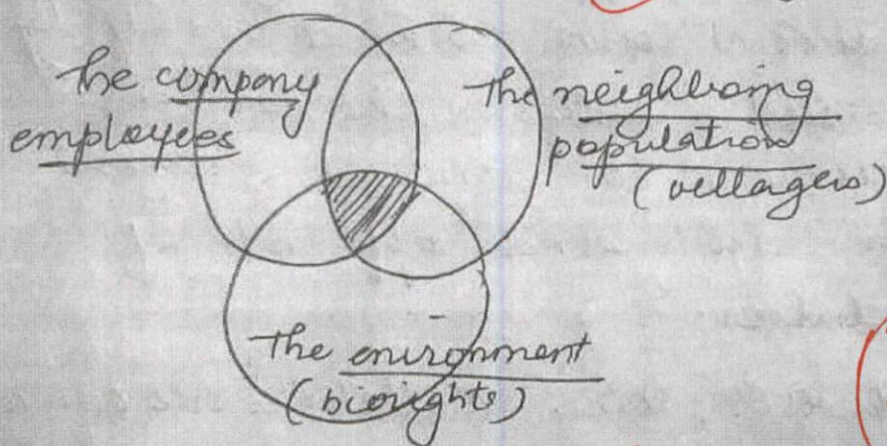
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As MD of the company I have a responsibility towards various stakeholders simultaneously :-



Thus, skilling of the neighboring population can enhance private-people relations as well as meet company needs.



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Q1:-

As head of water supply board in a district town, I have rightfully constructed a citizens charter as a document to remind citizens of their rights and officials of their duties.

However, some mistake have occurred.

a) Reasons for failure of Citizens Charter:-

① Although it is an essential document and often disregarded in administration, it is a powerful tool in the hands of the people & needs adequate attention.

② Inadequate time given to formation of document.

③ Incomplete deliberation/discussion/  
debate amongst subordinates -

citizens charter is a shared document.

planned in  
hurry,  
without any  
plan for implement-  
ation etc.



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Candidates  
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write on this  
margin

- ④ Promises provided in charter should be realistic, practical, not idealistic.
- ⑤ Absence of survey/mapping/data collation to decide progress of programme implementation.
- ⑥ Absence of officials-citizens interface.  
(I was singularly involved in discussion with people).
- ⑦ Lack of team work, collaborative approach & synergy.

## b) Ideal course of Action

- ① Lengthy and inclusive deliberation on document formation.

Why :- Because citizens charter is a shared document concerning all citizens and all officials involved.

- ② Adequate survey of region and mapping with project plan.



(Please do not write anything except the question number in this space)

कृपया इस स्थान में प्रश्न संख्या ही अधिकतम लिखें।

# UPSC

Answer Questions in NOT MORE THAN the Word Limit specified for each in the Parenthesis.  
Content of the Question is more important than length.  
(Specimen Answer Booklet - For Practice Purpose Only)

उम्मीदवारों को इस हाशिये में नहीं लिखना चाहिए।  
Candidates must not write on this margin

Why:- Because citizens' charter should reflect citizens' expectations, not false promises.

③ Team work, collaboration and <sup>active</sup> Consent of team:-

Why:- Because citizens' Charter is the responsibility of all team members not just the leading official - everyone should be aware of duties & responsibilities.

also survey of capabilities, capacities of department etc!

④ Need for Grievance Redressal Framework

Why:- At times, offices are unable to deliver on promises due to existing limitations. This must be effectively communicated to the people.

Citizen's Charter is a 2-way approach to governance based on feedback and improvement.

Thus, better approach to citizens charter implementation can enhance both service delivery and government-to-people contact and cooperation for progress.



(Please do not write anything except the question number in this space)  
कृपया इस स्थान में प्रश्न संख्या के अतिरिक्त कुछ न लिखें।

# UPSC

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उम्मीदवारों को इस कॉलम में नहीं लिखना चाहिए।  
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Q2:-

As officer in a Ministry of Government  
I have duties and responsibilities to  
carry out.

e) Ask P.S. to confirm in writing.

Merits

→ this can instill fear in  
wrongdoing of PS  
→ this ensures immunity if  
government takes action against  
me in future

forces PS to rethink course  
of action

proper recommendation &  
guidance of PS required

Demerits

→ this may cause my  
removal / transfer from  
office  
→ I may be threatened  
personally

personal reproach with  
minister may hamper my  
image as dutiful officer /  
obedient official.



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उम्मीदवारों को  
इस हार्डिंग में  
नहीं लिखना  
चाहिए  
Candidates  
must not  
write on this  
margin

b) meet senior officer & seek suggestion

**Merits** → This may help give me  
courage of conviction in action.  
↓ I may earn support to ensure  
administrative justice.

**Demerits** → senior officer may  
advise unethical  
behaviour.  
↓ senior official may be  
"connected" to minister  
and discourage my goodwill.

conscience  
yourself.

c) Refuse PS and stick to rules

**Merit** → uphold ethical conduct  
→ respect government rules,  
ensured corruption is rooted  
out.  
↓ earn respect as honest  
officer with probity.

**Demerits :-** → face political and personal  
pressure eg: transfers.  
↓ earn reputation of disobedience  
to authority.

Merit



(Please do not  
write anything except  
the question number  
in this space)

कृपया इस स्थान  
में प्रश्न संख्या के  
अतिरिक्त कुछ  
न लिखें।

# UPSC

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उम्मीदवारों को  
इस शीट पर न  
कहीं लिखना  
चाहिए।  
Candidates  
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margin

d) Doing matter into records, change rules

Merits

- ensures demand of minister is met - if just.
- involve entire administration in change - transparent and inclusive administration.
- ensure flexibility in administration to accommodate reasonable demands.

Demerits

- If demand unjust, this could bring minister's corruption to light.
- face political pressure/personal life interference (transfer).
- Questioning established rules can be seen as rebellious behavior - shunned.

Option (a), demanding written confirmation is the surest way out of the dilemma as it alerts the hierarchy to any misuse of legal loopholes while ensuring my immunity from political interference. I thus achieve my duty to the system as well as that to my ethical conscience.

taking

etc!

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