

### **IAS MAINS 2025**



# THINK in #3 THEMES #3

# ETHICS PART - 2



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#### CONFLICT OF INTEREST

#### Framework to Tackle:

- ➤ **Declaration of Interests:** Mandate personal interest declaration.
- ➤ Recusal: Recuse from decision-making processes where conflicts exist.
- ➤ Independent Oversight: Establish independent bodies to oversee and manage conflicts.

#### 2. Handling Questions in Exams:

- ➤ Discuss the importance of transparency and accountability.
- ➤ Provide examples where conflicts of interest were managed well.
- ➤ Example: A civil servant overseeing a contract award process has a close relative bidding for the contract. The civil servant should declare this interest and recuse themselves from the decision—making process.
- ➤ Indian Context: The Prevention of Corruption Act, 1988 provides a legal framework for dealing with conflicts of interest. Regular training and awareness programs on this Act can help.

#### 3. Nepotism

#### Framework to Tackle:

- ➤ Merit-Based Recruitment: Ensure transparent recruitment processes.
- ➤ Accountability Mechanisms: Implement cl anisms.
- Whistleblower Protection: Encourage reporting of nepotism without fear of retribution.

#### **Handling Questions in Exams:**

- ➤ Discuss the principles of meritocracy and fair recruitment.
- ➤ Provide examples of reforms and policies that promote merit-based recruitment.

- ➤ Example: In a government recruitment process, preference is given to relatives of current employees. This should be reported through whistleblower channels and investigated thoroughly.
- ➤ Indian Context: The Central Vigilance Commission (CVC) and various State Vigilance Commissions can investigate and address issues of nepotism.

#### 4. Information Leaks

#### Framework to Tackle:

- ➤ Strict Confidentiality Policies: Enforce strict confidentiality agreements.
- ➤ **Training:** Conduct regular training on information security.
- Monitoring and Auditing: Perform regular audits to identify potential information leaks.

#### **Handling Questions in Exams:**

- ► Emphasize the importance of information security in governance.
- ➤ Discusspreventivemeasuresandprotocols for handling sensitive information.
- ➤ Example: A government official leaks budget details before the official release date. Strict disciplinary action should be taken, and the incident should be used to reinforce the importance of confidentiality.
- ➤ Indian Context: The Official Secrets Act, 1923, provides a legal framework to deal with unauthorized information leaks.

#### PUBLIC ACCOUNTABILITY

#### 1. Framework to Tackle:

- ➤ **Transparency:** Ensure transparent processes and open communication channels.
- ▶ Public Feedback: Incorporate public feedback into decision-making processes.

➤ Performance Evaluation: Conduct regular performance evaluations against set ethical standards.

#### 2. Handling Questions in Exams:

- Highlight the role of transparency and public engagement in enhancing accountability.
- Provide case studies on successful public accountability initiatives.
- ➤ Example: A civil servant in charge of a public health campaign should regularly update the public on progress and involve community leaders in feedback sessions.
- ➤ Indian Context: The Right to Information Act, 2005 enhances public accountability by ensuring access to government information.

#### **CORRUPTION**

#### 1. Framework to Tackle:

- ➤ Anti-Corruption Measures: Implement and enforce strict anti-corruption policies.
- ▶ Public Awareness: Increase public awareness and involvement in anticorruption efforts.
- ➤ Integrity Systems: Develop and promote systems that encourage integrity and ethical behavior

#### 2. Handling Questions in Exams:

- Discuss the impact of corruption on public trust and governance.
- ➤ Provide examples of anti-corruption measures and their effectiveness.
- ➤ Example: A public official demands bribes for providing services. Establish a robust whistleblower system and ensure prompt investigation and prosecution of corrupt activities.
- ➤ IndianContext: The Lokpal and Lokayuktas Act, 2013 provides a mechanism for investigating and prosecuting corruption in public offices.

#### ADMINISTRATIVE DISCRETION

#### 1. Framework to Tackle:

- ➤ Clear Guidelines: Establish clear guidelines for the use of discretion.
- ➤ **Training:** Regular training on ethical decision-making and the limits of administrative discretion.
- ➤ **Monitoring:** Implement regular oversight to ensure ethical use of discretion.

#### 2. Handling Questions in Exams:

- ➤ Explain the importance of guidelines and training in the ethical use of discretion.
- ➤ Provide examples of both ethical and unethical use of administrative discretion.
- ➤ Example: A tax official uses discretion to grant relief to a taxpayer. Ensure that such decisions are based on clear guidelines and subject to review.
- ➤ Indian Context: The Central Board of Direct Taxes (CBDT) issues guidelines on the use of discretion in tax administration.

#### ADMINISTRATIVE SECRECY

#### 1. Framework to Tackle:

- ➤ Transparency Policies: Develop and implement policies promoting openness.
- ➤ **Public Right to Know:** Uphold the public's right to access information.
- ➤ Ethical Standards: Maintain high ethical standards to prevent secrecy abuses.

- Discuss the balance between the need for confidentiality and the public's right to know.
- ➤ Provide examples of transparency initiatives and their impact on governance.
- ➤ Example: Withholding information about environmental hazards from the public. Implement transparency policies to ensure timely disclosure of such information.

➤ Indian Context: The Right to Information Act, 2005 mandates disclosure of information.

#### **WHISTLEBLOWING**

#### 1. Framework to Tackle:

- ➤ Legal Protections: Ensure strong legal protections for whistleblowers.
- Confidential Reporting Mechanisms: Establish secure and confidential channels for reporting.
- ➤ **Support Systems:** Provide support and protection for whistleblowers.

#### 2. Handling Questions in Exams:

- ► Highlight the importance of whistleblowing in maintaining ethical standards.
- Provide examples of whistleblower protection laws and their impact.
- ➤ Example: An employee reports financial irregularities within a government department. Ensure the whistleblower's identity is protected and conduct a thorough investigation.
- ➤ Indian Context: The Whistle Blowers Protection Act, 20 provides protection to whistleblowers.

#### **POLICY DILEMMAS**

#### 1. Framework to Tackle:

- ➤ Rule of Law: Prioritize adherence to the law and ethical standards.
- Impartiality: Maintain impartiality in policy implementation.
- Ethical Reasoning: Apply ethical reasoning frameworks to resolve dilemmas.

#### 2. Handling Questions in Exams:

➤ Discuss common policy dilemmas and strategies to resolve them.

- ➤ Provide case studies where ethical reasoning was applied to resolve policy conflicts.
- ➤ Example: Balancing economic growth with environmental protection. Use ethical frameworks to make balanced decisions considering long-term impacts.
- ➤ Indian Context: The National Green Tribunal (NGT) helps resolve such dilemmas by enforcing environmental laws impartially.

#### RESOURCE ALLOCATION

#### 1. Framework to Tackle:

- ▶ Needs Assessment: Conduct thorough assessments to determine needs.
- ➤ **Transparency:** Ensure transparent allocation processes.
- ► Stakeholder Involvement: Engage stakeholders in decision-making.

#### 2. Handling Questions in Exams:

- ➤ Discuss the principles of equitable resource allocation.
- ➤ Provide examples of resource allocation during crises and their impact.
- ➤ Example: Distributing disaster relief funds. Use transparent criteria and involve community leaders in the distribution process.
- ➤ Indian Context: The National Disaster Management Authority (NDMA) provides guidelines for equitable resource distribution during disasters.

### EQUITY VS. EQUALITY IN SERVICE DELIVERY

#### 1. Framework to Tackle:

➤ Needs-Based Approach: Focus on addressing specific needs rather than equal distribution.

- ▶ **Policy Development:** Develop policies that balance equity and equality.
- ➤ Public Engagement: Involve the public in discussions about resource allocation.

#### 2. Handling Questions in Exams:

- ➤ Discuss the difference between equity and equality in public service delivery.
- Provide examples of policies that address equity in service delivery.
- ➤ Example: Allocating educational resources to underprivileged areas. Prioritize based on needs assessments.
- ➤ Indian Context: The Right to Education Act, 2009 focuses on equitable access to education for all children.

#### BALANCING PROFESSIONAL JUDGMENT AND POLITICAL PRESSURE

#### 1. Framework to Tackle:

- ➤ Ethical Standards: Adhere to professional ethical standards and codes of conduct.
- ► Independent Review: Establish independent review mechanisms to assess decisions.
- Resilience Training: Provide training to strengthen resilience against undue pressure.

#### 2. Handling Questions in Exams:

- ➤ Discuss strategies to maintain professional integrity under political pressure.
- Provide examples of cases where civil servants upheld ethical standards despite political pressure.
- ➤ Example: A civil servant pressured to approve a project despite environmental concerns. Use independent review bodies to assess the project's impact.
- ▶ Indian Context: The Central Vigilance Commission (CVC) provides guidelines for maintaining ethical standards under political pressure.

### CONFIDENTIALITY VS. TRANSPARENCY

#### 1. Framework to Tackle:

- ➤ Clear Policies: Develop clear policies on confidentiality and transparency.
- ➤ **Training:** Conduct regular training on handling sensitive information.
- ➤ Ethical Decision-Making: Apply ethical frameworks to decide when to disclose information.

#### 2. Handling Questions in Exams:

- Discuss the balance between confidentiality and transparency in governance.
- Provide examples of policies that effectively manage this balance.
- ➤ Example: Disclosing patient information in public health crises. Balance confidentiality with the need for public awareness.
- ➤ Indian Context: The Personal Data Protection Bill, 2019 addresses issues of data confidentiality and transparency.

### PERSONAL VALUES VS. PROFESSIONAL RESPONSIBILITIES

#### 1. Framework to Tackle:

- ➤ Ethical Guidelines: Develop clear ethical guidelines for professional conduct.
- ➤ **Support Systems:** Provide support for individuals facing ethical dilemmas.
- ▶ Ethical Decision-Making: Encourage the use of ethical decision-making frameworks.

- ➤ Discuss the conflict between personal values and professional duties.
- ➤ Provide examples of how civil servants balance these conflicts.

- ➤ Example: A health official's personal beliefs conflicting with the provision of reproductive health services. Provide support and guidance on balancing personal and professional responsibilities.
- ► Indian Context: The Medical Council of India (MCI) guidelines provide a framework for balancing personal values and professional responsibilities.

#### **FAVORITISM**

#### 1. Framework to Tackle:

- ➤ Transparent Criteria: Develop clear, merit-based criteria for decisions.
- ➤ Monitoring and Reporting: Implement systems to monitor and report favoritism.
- ➤ Ethical Training: Regular training on impartiality and fairness.

#### 2. Handling Questions in Exams:

- ➤ Discuss the impact of favoritism on organizational culture and public trust.
- Provide examples of measures taken to prevent favoritism.
- ➤ Example: Favoritism in promotions within a government department. Implement clear and transparent criteria for promotions to ensure fairness.
- ➤ Indian Context: The Central Vigilance Commission (CVC) guidelines promote transparency and fairness in public sector promotions.

### MANIPULATION OF PERFORMANCE DATA

#### 1. Framework to Tackle:

- ➤ Data Integrity Policies: Implement strict policies for data accuracy.
- ➤ Independent Audits: Regular independent audits of performance data.

➤ Whistleblower Channels: Establish channels for reporting data manipulation.

#### 2. Handling Questions in Exams:

- ➤ Discuss the importance of accurate data for policy making and governance.
- Provide examples of the consequences of manipulated data.
- ➤ **Example:** Manipulating performance data to show inflated achievements. Conduct independent audits and encourage whistleblowers to report inaccuracies.
- ➤ Indian Context: The Comptroller and Auditor General (CAG) of India conducts audits to ensure data integrity in government operations.

#### OVERREACH OF AUTHORITY

#### 1. Framework to Tackle:

- ➤ Clear Boundaries: Define clear boundaries of authority.
- ➤ Checks and Balances: Implement checks and balances to prevent overreach.
- Accountability Mechanisms: Ensure mechanisms for accountability and redress.

- ➤ Discuss the importance of defining and respecting the limits of authority.
- ➤ Provide examples of mechanisms to prevent the overreach of authority.
- ➤ **Example:** An official taking actions beyond their authorized powers. Implement checks and balances to ensure that actions are within legal and ethical boundaries.
- ➤ Indian Context: The Indian Constitution and administrative laws provide clear boundaries for the exercise of authority by public officials.

#### **COMPLIANCE WITH UNJUST LAWS**

#### 1. Framework to Tackle:

- ➤ Ethical Review: Conduct ethical reviews of laws and policies.
- Advocacy for Change: Advocate for changes to unjust laws.
- ➤ EthicalDiscretion: Apply ethical discretion in enforcement where possible.

#### 2. Handling Questions in Exams:

- Discuss the challenges of enforcing laws perceived as unjust.
- Provide examples of ethical responses to unjust laws.
- Example: Enforcing laws that discriminate against certain groups. Advocate for legal reforms and apply ethical discretion in enforcement.
- ▶ Indian Context: Historical examples include the struggle against colonial laws and the push for social justice laws postindependence.

#### **POLITICAL INTERFERENCE**

#### 1. Framework to Tackle:

- ➤ Insulation Mechanisms: Create mechanisms to insulate administrative functions from political interference.
- Professional Standards: Adhere to professional standards and codes of conduct.
- ➤ **Transparency:** Ensure transparency in decision-making processes.

#### 2. Handling Questions in Exams:

- Discuss the impact of political interference on administrative efficiency and integrity.
- ➤ Provide examples of mechanisms to minimize political interference.
- ➤ Example: Political pressure to make biased decisions in administrative matters.

- Establish independent commissions and promote transparency.
- ➤ Indian Context: The Election Commission of India is an example of an insulated body ensuring fair electoral processes.

#### MISUSE OF PUBLIC FUNDS

#### 1. Framework to Tackle:

- ➤ Strict Financial Controls: Implement strict financial controls and auditing procedures.
- ➤ Transparency in Budgeting: Ensure transparency in budgeting and expenditure.
- ➤ Whistleblower Protection: Protect individuals who report misuse of funds.

#### 2. Handling Questions in Exams:

- ➤ Discuss the consequences of misuse of public funds on governance and public trust.
- Provide examples of measures to ensure financial integrity.
- ➤ **Example:** Misappropriation of funds meant for public welfare projects. Implement stringent auditing and financial controls.
- ➤ Indian Context: The Public Accounts Committee of Parliament oversees the expenditure of public funds and ensures accountability.

#### **BREACH OF PUBLIC TRUST**

#### 1. Framework to Tackle:

- ➤ Building Trust: Focus on building and maintaining public trust through ethical behavior.
- ➤ Transparency and Accountability: Ensure transparency and accountability in all actions.
- ➤ **Public Engagement:** Engage the public in decision-making processes to build trust.

#### 2. Handling Questions in Exams:

- ➤ Discuss the importance of maintaining public trust in governance.
- Provide examples of initiatives to restore public trust.
- ➤ Example: A scandal eroding public trust in a government department. Implement transparency measures and engage with the public to rebuild trust.
- Indian Context: Initiatives like the Digital India program aim to increase transparency and public trust in government operations.

#### LACK OF IMPARTIALITY

#### 1. Framework to Tackle:

- ➤ Clear Guidelines: Establish clear guidelines for impartial decision-making.
- ➤ **Training:** Provide training on the importance of impartiality and how to achieve it.
- ➤ **Monitoring:** Regularly monitor decisions to ensure they are impartial.

#### 2. Handling Questions in Exams:

- ➤ Discuss the impact of impartiality on justice and fairness in administration.
- Provide examples of measures to ensure impartiality in decision-making.
- ➤ Example: Bias in awarding government contracts. Implement guidelines and monitoring systems to ensure decisions are based on merit.
- Indian Context: The Central Vigilance Commission (CVC) ensures impartiality in public procurement processes.

### ETHICAL ISSUES IN PUBLIC SERVICE DELIVERY

#### 1. Framework to Tackle:

➤ Quality Standards: Establish clear quality standards for public service delivery.

- ➤ Feedback Mechanisms: Implement feedback mechanisms to address issues and improve services.
- ► Ethical Training: Provide training on ethical service delivery.

#### 2. Handling Questions in Exams:

- Discuss common ethical issues in public service delivery and how to address them.
- ➤ Provide examples of successful public service delivery models.
- ➤ Example: Delays in public service delivery due to bureaucratic inefficiencies. Implement quality standards and feedback mechanisms to improve efficiency.
- ➤ Indian Context: The Sevottam model provides a framework for improving public service delivery in India.

### ETHICAL ISSUES IN DECISION MAKING

#### 1. Framework to Tackle:

- Ethical Decision-Making Models: Apply models such as the Ethical Decision-Making Model (EDM).
- ➤ Stakeholder Analysis: Consider the impact of decisions on all stakeholders.
- ➤ **Transparency:** Ensure transparency in the decision-making process.

- ➤ Discuss the importance of ethical decision-making in public administration.
- Provide examples of ethical decisionmaking frameworks.
- ➤ Example: Making decisions that affect vulnerable populations. Use ethical decision-making models to ensure fairness and equity.
- ➤ Indian Context: The National Policy on Disaster Management includes ethical decision-making principles to protect vulnerable communities.

### ETHICAL ISSUES RELATED TO CITIZEN'S CHARTERS

#### 1. Framework to Tackle:

- Clear Guidelines: Develop clear guidelines for the creation and implementation of Citizen's Charters.
- ➤ **Public Participation:** Involve citizens in the formulation and review of charters.
- ➤ Accountability Mechanisms: Establish mechanisms to hold service providers accountable.

#### 2. Handling Questions in Exams:

- Discuss the role of Citizen's Charters in promoting accountability and transparency.
- ➤ Provide examples of successful implementation of Citizen's Charters.
- ➤ Example: A Citizen's Charter for a public utility service. Involve citizens in its formulation and ensure mechanisms for accountability.
- ▶ Indian Context: The Citizen's Charter initiative by the Government of India aims to enhance transparency and accountability in public services.

#### HANDLING PUBLIC CRITICISM

#### 1. Framework to Tackle:

- ➤ Constructive Feedback: Encourage and seek constructive feedback from the public.
- ➤ **Transparency:** Maintain transparency in actions and decision-making processes.
- ➤ Communication: Develop effective communication strategies to address public concerns.

#### 2. Handling Questions in Exams:

➤ Discuss strategies for handling public criticism constructively.

- ➤ Provide examples of how public criticism has been managed effectively.
- ➤ Example: A public official facing criticism over a policy decision. Address the concerns transparently and incorporate public feedback where feasible.
- ➤ Indian Context: The Delhi Government's 'Mohalla Clinics' faced public scrutiny initially but addressed concerns through transparent communication and improvements.

#### WORKPLACE HARASSMENT

#### 1. Framework to Tackle:

- ➤ Zero Tolerance Policy: Implement a strict zero-tolerance policy towards harassment.
- ➤ Reporting Mechanisms: Establish clear and confidential reporting mechanisms.
- ➤ **Support Systems:** Provide support and counseling services for victims.

#### 2. Handling Questions in Exams:

- ➤ Discuss the importance of a safe and respectful work environment.
- ➤ Provide examples of policies and measures to combat workplace harassment.
- ➤ Example: Implementing an antiharassment policy in a government office. Ensure robust reporting mechanisms and support systems for affected individuals.
- ▶ Indian Context: The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 provides a legal framework for addressing workplace harassment.

#### **CULTURAL SENSITIVITY**

#### 1. Framework to Tackle:

➤ Diversity Training: Conduct training programs on cultural sensitivity and diversity.

- ➤ Inclusive Policies: Develop policies that respect and accommodate cultural differences.
- ➤ Community Engagement: Engage with diverse communities to understand their perspectives and needs.

#### 2. Handling Questions in Exams:

- ➤ Discuss the importance of cultural sensitivity in public administration.
- ➤ Provide examples of initiatives that promote cultural inclusivity.
- ➤ Example: A public health campaign that respects cultural practices. Involve community leaders in designing and implementing the campaign.
- ➤ Indian Context: The National Rural Health Mission incorporates culturally sensitive approaches to improve healthcare delivery in diverse communities.

#### **ENVIRONMENTAL ETHICS**

#### 1. Framework to Tackle:

- ➤ Sustainable Practices: Promote sustainable practices in public administration.
- ➤ Environmental Impact Assessment: Conduct thorough environmental impact assessments for projects.
- ➤ **Public Awareness:** Raise public awareness about environmental issues and sustainable living.

#### 2. Handling Questions in Exams:

- ➤ Discuss the ethical implications of environmental policies and practices.
- ➤ Provide examples of sustainable development initiatives.
- ➤ Example: Implementing green procurement policies in government departments. Ensure that procurement practices minimize environmental impact.
- ➤ Indian Context: The Swachh Bharat Mission promotes environmental ethics through initiatives like waste management and sanitation.

#### **ETHICS IN TECHNOLOGY USE**

#### 1. Framework to Tackle:

- Privacy Protection: Implement measures to protect individual privacy and data security.
- ➤ **Digital Ethics Training:** Provide training on ethical issues related to technology use.
- ➤ Regulatory Compliance: Ensure compliance with relevant laws and regulations governing technology use.

#### 2. Handling Questions in Exams:

- Discuss the ethical challenges posed by advancements in technology.
- Provide examples of policies that address digital ethics and data protection.
- ➤ Example: Ensuring data privacy in e-governance initiatives. Implement robust cybersecurity measures and adhere to data protection laws.
- Indian Context: The Personal Data Protection Bill, 2019 aims to protect individual data privacy and regulate data processing activities.

### PROCUREMENT

#### 1. Framework to Tackle:

- ➤ Transparent Processes: Ensure transparency in procurement processes.
- ➤ Competitive Bidding: Promote competitive bidding to ensure fair selection of vendors.
- ➤ Ethical Standards: Establish and enforce ethical standards in procurement.

- ➤ Discuss the importance of ethical practices in public procurement.
- ➤ Provide examples of measures to ensure transparency and fairness in procurement.

- ➤ Example: Implementing e-procurement systems to enhance transparency. Ensure that all procurement activities are conducted in a fair and transparent manner.
- ➤ Indian Context: The Government e-Marketplace (GeM) is an initiative to enhance transparency and efficiency in public procurement.

### CONFLICT RESOLUTION IN PUBLIC ADMINISTRATION

#### Framework to Tackle:

- Mediation and Dialogue: Promote mediation and dialogue to resolve conflicts.
- ➤ Conflict Resolution Training: Provide training on conflict resolution techniques.
- Stakeholder Engagement: Engage all stakeholders in conflict resolution processes.

#### 2. Handling Questions in Exams:

- Discuss the importance of conflict resolution in maintaining organizational harmony.
- Provide examples of successful conflict resolution in public administration.
- ➤ Example: Mediating a dispute between different departments within a government organization. Use mediation techniques to reach a mutually acceptable solution.
- ➤ Indian Context: The Ministry of Labour and Employment promotes industrial harmony through conflict resolution mechanisms.

### MANAGING ETHICAL DILEMMAS IN CRISIS SITUATIONS

#### 1. Framework to Tackle:

➤ Crisis Management Plans: Develop comprehensive crisis management plans.

- ➤ Ethical Guidelines: Establish ethical guidelines for decision-making in crises.
- ➤ Training and Simulations: Conduct training and simulations to prepare for crisis situations.

#### 2. Handling Questions in Exams:

- ➤ Discuss the ethical challenges faced during crises and how to address them.
- ➤ Provide examples of ethical crisis management.
- ➤ Example: Managing ethical dilemmas during a natural disaster response. Ensure that decisions are made transparently and ethically.
- ➤ Indian Context: The National Disaster Management Authority (NDMA) provides guidelines for ethical crisis management during disasters.

### ETHICAL ISSUES IN RESEARCH AND DEVELOPMENT

#### 1. Framework to Tackle:

- ➤ Ethical Review Boards: Establish ethical review boards to oversee research projects.
- ➤ Informed Consent: Ensure informed consent from participants in research.
- ➤ Transparency and Integrity: Promote transparency and integrity in research practices.

- ➤ Discuss the ethical considerations in research and development.
- Provide examples of ethical practices in research.
- ➤ Example: Conducting research on public health issues. Ensure that all research activities adhere to ethical standards and guidelines.
- ➤ Indian Context: The Indian Council of Medical Research (ICMR) provides ethical guidelines for biomedical research.

#### ETHICAL ISSUES IN HEALTHCARE

#### 1. Framework to Tackle:

- ➤ Patient Rights: Ensure that patient rights are respected and protected.
- ➤ Informed Consent: Obtain informed consent for medical procedures and treatments.
- ➤ Confidentiality: Maintain confidentiality of patient information.

- ➤ Discuss the ethical challenges in healthcare delivery.
- ➤ Provide examples of policies that protect patient rights and ensure ethical healthcare.
- ➤ Example: Ensuring ethical practices in a public hospital. Implement policies that protect patient rights and ensure informed consent.
- ➤ Indian Context: The Medical Council of India (MCI) provides guidelines for ethical practices in healthcare.

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### **ETHICS**

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# DETAILED FRAMEWORKS FOR TACKLING ETHICAL ISSUES IN CIVIL SERVICE/IN ADMINISTRATION

#### 1. Conflict of Interest

#### Step-by-Step Action Plan:

- ➤ Identify Potential Conflicts: Assess all personal and financial interests that might conflict with official duties.
- Declaration of Interests: Formally declare any potential conflicts to relevant authorities.
- Recusal: Recuse yourself from decisionmaking processes where conflicts exist.
- ➤ Independent Oversight: Ensure an independent body reviews and oversees conflict situations.
- Documentation: Keep thorough records of all steps taken to manage conflicts.
- ➤ Transparency: Communicate openly about the steps taken to manage conflicts.

#### **Thought Process:**

- Prioritize transparency and accountability.
- Maintain the integrity of the decisionmaking process.
- ➤ Ensure actions are in the best interest of the public.

#### 2. Nepotism

#### Step-by-Step Action Plan:

- ➤ Establish Clear Criteria: Develop transparent, merit-based criteria for recruitment and promotions.
- ➤ Audit Recruitment Processes: Conduct regular audits to ensure adherence to merit-based criteria.
- ➤ Implement Reporting Mechanisms: Establish anonymous reporting channels for nepotism.

- ➤ Enforce Accountability: Take disciplinary actions against those who violate recruitment policies.
- ➤ **Promote Meritocracy:** Encourage a culture of merit and fairness in the workplace.

#### **Thought Process:**

- Focus on fairness and equality in recruitment.
- ➤ Ensure that the most qualified individuals are selected.
- Maintain organizational integrity and public trust.

#### 3. Information Leaks

#### Step-by-Step Action Plan:

- ► Implement Confidentiality Policies: Develop and enforce strict confidentiality agreements.
- Regular Training: Conduct training sessions on information security protocols.
- Monitor and Audit: Perform regular audits to detect potential information leaks.
- ➤ Enforce Penalties: Implement penalties for unauthorized disclosure of information.
- ➤ Secure Communication: Use secure channels for sensitive information exchange.

#### **Thought Process:**

- Protect sensitive information to maintain public trust.
- ➤ Ensure compliance with legal and ethical standards.
- Act promptly to address and rectify any breaches.

#### 4. Public Accountability

#### Step-by-Step Action Plan:

 Promote Transparency: Ensure all actions and decisions are transparent.

- ➤ Engage with the Public: Seek and incorporate public feedback into decision—making.
- Regular Reporting: Provide regular updates and reports on activities and outcomes.
- ➤ **Performance Evaluation:** Conduct evaluations against ethical standards.
- ➤ Corrective Actions: Take corrective measures based on feedback and evaluations.

#### **Thought Process:**

- ▶ Prioritize the public interest in all actions.
- Foster an open and responsive administration.
- Build and maintain public trust through transparency.

#### 5. Corruption

#### Step-by-Step Action Plan:

- ➤ Establish Anti-Corruption Policies: Implement strict anti-corruption policies and procedures.
- ▶ Raise Awareness: Conduct awareness campaigns about the consequences of corruption.
- ➤ Encourage Whistleblowing: Provide protections and incentives for whistleblowers.
- Regular Audits: Conduct regular audits to detect and prevent corrupt activities.
- ➤ Enforce Legal Actions: Pursue legal actions against individuals involved in corruption.

#### **Thought Process:**

- Maintain high ethical standards and integrity.
- Promote a culture of honesty and accountability.
- Ensure that corrupt practices are addressed promptly and effectively.

#### 6. Administrative Discretion

#### Step-by-Step Action Plan:

- ▶ Develop Clear Guidelines: Establish guidelines for the appropriate use of discretion.
- ➤ **Training Programs:** Provide training on ethical decision-making.
- ➤ Regular Monitoring: Monitor the use of discretion to ensure compliance with guidelines.
- ➤ Feedback Mechanisms: Establish channels for feedback on the use of discretion.
- Review and Adjust: Regularly review and adjust guidelines based on feedback and experiences.

#### **Thought Process:**

- ► Ensure decisions are made based on fairness and legality.
- ▶ Use discretion responsibly and within established guidelines.
- Continuously improve decision-making processes.

#### 7. Administrative Secrecy

#### Step-by-Step Action Plan:

- ► Implement Transparency Policies: Develop policies promoting openness.
- Right to Information: Uphold the public's right to access information.
- Maintain Ethical Standards: Ensure high ethical standards to avoid unnecessary secrecy.
- ➤ Regular Reviews: Conduct regular reviews of information classification policies.
- ➤ Public Engagement: Engage with the public to explain the necessity of certain confidentialities.

#### **Thought Process:**

➤ Balance the need for confidentiality with the public's right to know.

- Promote transparency to build public trust.
- ► Ensure that secrecy is justified and necessary.

#### 8. Whistleblowing

#### **Step-by-Step Action Plan:**

- ➤ Legal Protections: Ensure strong legal protections for whistleblowers.
- ➤ Confidential Reporting: Establish secure and confidential reporting channels.
- ➤ **Support Systems:** Provide support and protection for whistleblowers.
- ➤ **PromptInvestigations:**Conductthorough and prompt investigations of reported issues.
- ➤ **Publicize Outcomes:** Publicize the outcomes of investigations to encourage transparency.

#### **Thought Process:**

- Protect individuals who expose unethical practices.
- Ensure that whistleblowers feel safe and supported.
- Promote a culture of accountability and transparency.

#### 9. Policy Dilemmas

#### **Step-by-Step Action Plan:**

- ➤ Ethical Guidelines: Develop ethical guidelines to resolve policy dilemmas.
- ► Impartiality: Maintain impartiality in policy implementation.
- ➤ Stakeholder Consultation: Consult with stakeholders to gather diverse perspectives.
- ➤ Use Ethical Frameworks: Apply ethical reasoning frameworks to make balanced decisions.
- ➤ **Document Decisions:** Keep thorough records of the decision-making process.

#### **Thought Process:**

- ► Balance conflicting responsibilities with ethical reasoning.
- ➤ Ensure that decisions are fair and just.
- ➤ Continuously engage with stakeholders for informed decision-making.

#### 10. Resource Allocation

#### **Step-by-Step Action Plan:**

- ➤ Conduct Needs Assessments: Thoroughly assess the needs of various groups.
- ➤ Ensure Transparency: Make the allocation process transparent.
- ► Engage Stakeholders: Involve stakeholders in the allocation process.
- Regular Monitoring: Monitor the use of allocated resources.
- ▶ Review and Adjust: Regularly review allocations and make adjustments as necessary.

#### **Thought Process:**

- ➤ Allocate resources based on assessed needs and priorities.
- ► Ensure fairness and transparency in resource distribution.
- ➤ Continuously monitor and adjust allocations to meet evolving needs.

### 11. Equity vs. Equality in Service Delivery

- Needs-Based Assessment: Focus on specific needs rather than equal distribution.
- ➤ **Policy Development:** Develop policies that balance equity and equality.
- ➤ **Public Engagement:** Involve the public in discussions about resource allocation.
- ➤ **Regular Reviews:** Regularly review and adjust policies to address changing needs.

➤ Monitor Impact: Monitor the impact of policies on different groups.

#### **Thought Process:**

- Strive for fairness by addressing specific needs.
- Balance equality and equity in policymaking.
- ➤ Engage with the public to ensure policies are effective and fair.

### 12. Balancing Professional Judgment & Political Pressure

#### Step-by-Step Action Plan:

- Adhere to Ethical Standards: Stick to professional ethical standards and codes of conduct.
- ➤ Independent Review: Use independent review mechanisms to assess decisions.
- ➤ Resilience Training: Provide training to strengthen resilience against undue pressure.
- Document Decisions: Keep thorough records of all decisions and the rationale behind them.
- ➤ Seek Support: Seek support from ethical review bodies when facing undue pressure.

#### **Thought Process:**

- ► Maintain integrity and professional standards under pressure.
- ▶ Use independent reviews to ensure decisions are ethically sound.
- ➤ Document all actions to provide transparency and accountability.

#### 13. Confidentiality vs. Transparency

#### Step-by-Step Action Plan:

- ➤ **Develop Policies:** Create clear policies on confidentiality and transparency.
- ► Conduct Training: Regularly train employees on handling sensitive information.

- ➤ Apply Ethical Frameworks: Use ethical decision-making frameworks to determine when to disclose information.
- Engage Stakeholders: Involve stakeholders in discussions about transparency and confidentiality.
- ➤ **Regular Reviews:** Regularly review policies and practices to ensure they balance confidentiality and transparency.

#### **Thought Process:**

- ➤ Balance the need for confidentiality with the public's right to know.
- ► Ensure transparency without compromising sensitive information.
- ➤ Regularly review and update policies to maintain this balance.

### 14. Personal Values vs. Professional Responsibilities

#### Step-by-Step Action Plan:

- Develop Ethical Guidelines: Create clear ethical guidelines for professional conduct.
- ➤ **Provide Support:** Offer support for individuals facing ethical dilemmas.
- ➤ Encourage Ethical Decision-Making: Promote the use of ethical decisionmaking frameworks.
- ➤ **Seek Advice:** Encourage employees to seek advice when facing conflicts between personal values and professional duties.
- ➤ Maintain Transparency: Ensure transparency in how these conflicts are managed.

- Balance personal values with professional responsibilities.
- ▶ Use ethical guidelines and decisionmaking frameworks.
- ➤ Seek support and maintain transparency in resolving conflicts.

#### 15. Favoritism

#### **Step-by-Step Action Plan:**

- ➤ Establish Criteria: Develop clear, meritbased criteria for decisions.
- ► Implement Monitoring: Monitor decisions to ensure they are based on merit.
- Provide Training: Offer regular training on impartiality and fairness.
- ➤ **Set Up Reporting Channels:** Establish channels for reporting favoritism.
- ► Enforce Accountability: Hold individuals accountable for unfair practices.

#### **Thought Process:**

- ➤ Ensure decisions are based on merit and fairness.
- Regularly monitor and review decisions to prevent favoritism.
- Encourage a culture of impartiality and accountability.

#### 16. Manipulation of Performance Data

#### **Step-by-Step Action Plan:**

- ➤ Implement Data Integrity Policies: Establish strict policies to ensure data accuracy and integrity.
- Conduct Independent Audits: Schedule regular independent audits to verify data.
- ➤ Create Reporting Channels: Set up secure channels for reporting data manipulation.
- ➤ **Provide Training:** Educate employees on the importance of accurate data reporting.
- ➤ Enforce Penalties: Implement strict penalties for data manipulation.
- ➤ **Transparency:** Maintain transparency in data collection and reporting processes.

#### **Thought Process:**

➤ Emphasize the importance of accurate data for effective policy making and governance.

- ➤ Ensure all data is collected, processed, and reported honestly.
- ► Implement regular checks and balances to prevent data manipulation.

#### 17. Overreach of Authority

#### **Step-by-Step Action Plan:**

- ➤ **Define Clear Boundaries:** Establish and communicate clear boundaries of authority for each role.
- ➤ Implement Checks and Balances: Create systems of checks and balances to monitor authority use.
- ➤ Conduct Regular Training: Provide training on the limits of authority and the importance of adhering to these limits.
- Monitor Actions: Continuously monitor actions to ensure they stay within defined boundaries.
- Document and Review: Document decisions and review them periodically to check for overreach.
- ➤ Accountability Mechanisms: Implement mechanisms to hold individuals accountable for overstepping their authority.

#### **Thought Process:**

- ➤ Understand and respect the legal and ethical boundaries of one's authority.
- ➤ Regularly review and reflect on actions to ensure compliance with boundaries.
- Promote a culture of accountability and responsibility.

#### 18. Compliance with Unjust Laws

- ➤ ConductEthicalReviews:Regularly review laws and policies for ethical implications.
- ➤ Advocate for Change: Advocate for the amendment or repeal of unjust laws through appropriate channels.
- ➤ Apply Ethical Discretion: Where possible, use discretion to mitigate the impact of unjust laws.

- ➤ Seek Guidance: Consult with legal and ethical advisors on handling conflicts between professional duties and unjust laws
- ➤ Engage in Public Dialogue: Engage with the public and stakeholders to highlight the issues and garner support for change.
- ➤ **Document Actions:** Keep detailed records of actions taken to comply with or challenge unjust laws.

#### **Thought Process:**

- ▶ Balance professional responsibilities with ethical considerations.
- Advocate for justice and fairness in the legal system.
- ▶ Use ethical reasoning to navigate conflicts between the law and morality.

#### 19. Political Interference

#### Step-by-Step Action Plan:

- ➤ Create Insulation Mechanisms: Develop mechanisms to insulate administrative functions from political influence.
- ➤ Adhere to Professional Standards: Maintain strict adherence to professional standards and codes of conduct.
- ➤ Ensure Transparency: Make decisionmaking processes transparent to reduce undue influence.
- ➤ Implement Independent Oversight: Establish independent bodies to oversee administrative decisions.
- ➤ **Provide Training:** Train employees on maintaining professional integrity and resisting undue influence.
- ➤ **Document Decisions:** Keep detailed records of decisions and the rationale behind them.

#### **Thought Process:**

- Maintain impartiality and integrity in all administrative actions.
- ➤ Resist undue influence by adhering to established standards and procedures.

➤ Promote transparency to build public trust and accountability.

#### 20. Misuse of Public Funds

#### **Step-by-Step Action Plan:**

- ➤ Establish Financial Controls: Implement stringent financial controls and auditing procedures.
- ➤ Ensure Transparency in Budgeting: Make budgeting and expenditure processes transparent.
- Encourage Whistleblowing: Protect and encourage individuals to report misuse of funds.
- Conduct Regular Audits: Schedule regular audits to ensure funds are used appropriately.
- ▶ Enforce Penalties: Implement strict penalties for the misuse of public funds.
- ► Engage Public Participation: Involve the public in budgeting and expenditure monitoring.

#### **Thought Process:**

- Prioritize the responsible and ethical use of public resources.
- ► Ensure all expenditures are transparent and justifiable.
- ➤ Implement robust checks and balances to prevent misuse.

#### 21. Breach of Public Trust

- ➤ Focus on Building Trust: Develop strategies to build and maintain public trust through ethical behavior.
- ➤ Ensure Transparency and Accountability: Implement measures to ensure transparency and accountability in all actions.
- ➤ Engage Public Participation: Involve the public in decision-making processes to build trust.
- ➤ **Regular Communication:** Maintain open lines of communication with the public.

- ➤ Address Misconduct Promptly: Take prompt and effective action against any breaches of trust.
- Publicize Positive Actions: Highlight and publicize actions that build and restore trust.

#### **Thought Process:**

- Act with integrity and accountability to maintain public trust.
- ► Engage with the public to understand and address their concerns.
- Promote transparency and ethical behavior in all actions.

#### 22. Lack of Impartiality

#### **Step-by-Step Action Plan:**

- ➤ Establish Clear Guidelines: Develop and enforce clear guidelines for impartial decision-making.
- ➤ **Provide Training:** Offer regular training on the importance of impartiality and how to achieve it.
- ➤ Monitor Decisions: Regularly monitor and review decisions to ensure they are impartial.
- ➤ Implement Reporting Mechanisms: Establish mechanisms for reporting and addressing biases.
- ➤ Enforce Accountability: Hold individuals accountable for biased actions.
- Promote a Culture of Fairness: Encourage a workplace culture that values fairness and impartiality.

#### **Thought Process:**

- Ensure all decisions are made based on merit and fairness.
- ➤ Continuously review and improve processes to eliminate bias.
- Promote a culture of impartiality and equality.

### 23. Ethical Issues in Public Service Delivery

#### Step-by-Step Action Plan:

- ➤ Establish Quality Standards: Develop clear quality standards for public service delivery.
- ➤ Implement Feedback Mechanisms: Set up mechanisms for receiving and acting on public feedback.
- ➤ **Provide Ethical Training:** Train employees on ethical service delivery practices.
- ➤ Monitor and Evaluate: Regularly monitor and evaluate service delivery against established standards.
- ➤ Address Complaints Promptly: Respond promptly to public complaints and issues.
- ➤ Engage with the Public: Involve the public in evaluating and improving service delivery.

#### **Thought Process:**

- Prioritize quality and ethical service delivery.
- ► Engage with the public to understand their needs and concerns.
- ➤ Continuously monitor and improve service delivery processes.

#### 24. Ethical Issues in Decision Making

- Apply Ethical Decision-Making Models: Use models such as the Ethical Decision-Making Model (EDM).
- ➤ Conduct Stakeholder Analysis: Consider the impact of decisions on all stakeholders.
- ➤ Ensure Transparency: Maintain transparency in the decision-making process.
- ➤ **Document Decisions:** Keep thorough records of decisions and their rationale.
- ➤ Seek Ethical Guidance: Consult with ethical advisors or committees when in doubt.

➤ Review and Reflect: Regularly review and reflect on past decisions to learn and improve.

#### **Thought Process:**

- ➤ Make decisions based on ethical principles and stakeholder impacts.
- Maintain transparency and document the decision-making process.
- Continuously seek to improve decisionmaking practices.

### 25. Ethical Issues Related to Citizen's Charters

#### Step-by-Step Action Plan:

- ➤ Develop Clear Guidelines: Create clear guidelines for the formulation and implementation of Citizen's Charters.
- ➤ Involve the Public: Engage citizens in the development and review of charters.
- ➤ Ensure Accountability: Establish mechanisms to hold service providers accountable to the charters.
- Monitor Performance: Regularly monitor and report on performance against charter commitments.
- Address Grievances: Set up channels for addressing public grievances related to service delivery.
- ➤ **Publicize Results:** Publicize performance results to maintain transparency.

#### **Thought Process:**

- ► Engage with citizens to develop effective and realistic charters.
- ► Ensure accountability and transparency in meeting charter commitments.
- ➤ Regularly review and improve charters based on public feedback.

#### 26. Handling Public Criticism

#### Step-by-Step Action Plan:

➤ Encourage Constructive Feedback: Actively seek and encourage constructive public feedback.

- ➤ Maintain Transparency: Be transparent about actions and decision-making processes.
- ➤ Develop Communication Strategies: Createeffectivestrategies to communicate with the public.
- Address Concerns Promptly: Respond promptly to public concerns and criticism.
- ➤ Engage in Dialogue: Engage in open dialogue with critics to understand their perspectives.
- ➤ Implement Improvements: Use feedback to make necessary improvements in policies and actions.

#### **Thought Process:**

- View public criticism as an opportunity for improvement.
- ► Maintain transparency and engage in constructive dialogue.
- ➤ Use feedback to enhance public services and build trust.

#### 27. Workplace Harassment

#### Step-by-Step Action Plan:

- Implement a Zero Tolerance Policy: Establish a strict zero-tolerance policy towards harassment.
- ➤ Create Reporting Mechanisms: Set up clear and confidential channels for reporting harassment.
- ➤ Provide Support Systems: Offer support and counseling services for victims.
- ➤ Conduct Regular Training: Provide training on recognizing and preventing harassment.
- Investigate Promptly: Investigate all reports of harassment promptly and thoroughly.
- ► Enforce Penalties: Implement strict penalties for perpetrators of harassment.

#### **Thought Process:**

► Ensure a safe and respectful work environment for all employees.

- ➤ Take all reports of harassment seriously and act promptly.
- ➤ Promote a culture of respect and zero tolerance for harassment.

#### 28. Cultural Sensitivity

#### Step-by-Step Action Plan:

- ➤ Conduct Diversity Training: Provide training on cultural sensitivity and diversity to all employees.
- ➤ Develop Inclusive Policies: Create policies that respect and accommodate cultural differences.
- ➤ Engage with Communities: Actively engage with diverse communities to understand their perspectives and needs.
- ➤ **Promote Cultural Awareness:** Encourage the celebration and recognition of different cultures within the organization.
- ➤ Monitor Practices: Regularly review practices and policies to ensure they remain inclusive and culturally sensitive.
- ➤ Address Cultural Biases: Implement mechanisms to address and rectify cultural biases in decision-making.

#### **Thought Process:**

- Prioritize understanding and respect for cultural differences.
- ➤ Continuously seek to improve inclusivity and cultural sensitivity.
- Foster an organizational culture that values diversity.

#### 29. Environmental Ethics

#### Step-by-Step Action Plan:

- ➤ Promote Sustainable Practices: Implement sustainable practices in all areas of public administration.
- ➤ Conduct Environmental Impact
  Assessments: Ensure thorough
  environmental impact assessments are
  conducted for projects.

- ➤ Raise Public Awareness: Educate the public on environmental issues and sustainable living practices.
- ➤ Implement Green Policies: Develop and enforce policies that promote environmental sustainability.
- ➤ Engage Stakeholders: Involve stakeholders in environmental decision-making processes.
- ➤ Monitor and Report: Regularly monitor environmental practices and report on their impact.

#### **Thought Process:**

- ➤ Consider long-term environmental impacts in decision-making.
- ▶ Promote sustainability and conservation.
- ► Engage and educate the public on environmental stewardship.

#### 30. Ethics in Technology Use

#### Step-by-Step Action Plan:

- Protect Privacy: Implement robust measures to protect individual privacy and data security.
- ➤ Provide Digital Ethics Training: Offer training on ethical issues related to technology use.
- ➤ Ensure Regulatory Compliance: Adhere to relevant laws and regulations governing technology use.
- ➤ Implement Cybersecurity Measures: Develop strong cybersecurity policies and practices.
- Promote Transparency: Maintain transparency in how technology and data are used.
- ➤ Regular Audits: Conduct regular audits to ensure compliance with ethical and legal standards.

- Prioritize data privacy and security.
- Stay informed and compliant with current laws and regulations.

➤ Promote ethical use of technology within the organization.

### 31. Ethical Issues in Public Procurement

#### **Step-by-Step Action Plan:**

- ► Ensure Transparency: Make procurement processes transparent and open.
- Promote Competitive Bidding: Encourage competitive bidding to ensure fair vendor selection.
- ➤ Establish Ethical Standards: Develop and enforce strict ethical standards in procurement.
- Conduct Regular Audits: Schedule regular audits to verify procurement integrity.
- ➤ Create Reporting Channels: Set up secure channels for reporting unethical practices in procurement.
- Enforce Accountability: Hold individuals accountable for unethical procurement practices.

#### **Thought Process:**

- ➤ Ensure procurement decisions are fair and transparent.
- Regularly monitor and review procurement practices.
- ► Encourage reporting and accountability to prevent unethical behavior.

### 32. Conflict Resolution in Public Administration

#### Step-by-Step Action Plan:

- ➤ Promote Mediation and Dialogue: Encourage mediation and dialogue to resolve conflicts.
- ➤ Provide Conflict Resolution Training: Offer training on conflict resolution techniques.
- ➤ Engage Stakeholders: Involve all relevant stakeholders in conflict resolution processes.

- ➤ Implement Resolution Mechanisms: Develop clear mechanisms for addressing and resolving conflicts.
- Monitor and Evaluate: Continuously monitor conflict resolution processes and evaluate their effectiveness.
- Document Resolutions: Keep thorough records of conflict resolutions and lessons learned.

#### **Thought Process:**

- Prioritize peaceful and constructive conflict resolution.
- ➤ Engage all parties to understand different perspectives.
- ➤ Continuously improve conflict resolution strategies based on feedback.

### 33. Managing Ethical Dilemmas in Crisis Situations

#### Step-by-Step Action Plan:

- ➤ Develop Crisis Management Plans: Create comprehensive plans for managing crises.
- ➤ Establish Ethical Guidelines: Develop ethical guidelines for decision-making during crises.
- ➤ Conduct Training and Simulations: Regularly train and conduct simulations to prepare for crisis situations.
- ► Ensure Transparent Communication: Maintain transparent and clear communication during crises.
- ➤ Engage Stakeholders: Involve stakeholders in crisis management and decision-making.
- ➤ Review and Learn: After the crisis, review actions taken and learn from the experience.

- ➤ Prioritize ethical considerations even in high-pressure situations.
- ➤ Be prepared and well-trained to handle crises effectively.

► Learn and improve crisis management strategies continuously.

### 34. Ethical Issues in Research & Development

#### **Step-by-Step Action Plan:**

- Establish Ethical Review Boards: Set up boards to oversee the ethical aspects of research projects.
- ➤ Ensure Informed Consent: Obtain informed consent from participants in research.
- ➤ Promote Transparency and Integrity: Maintain transparency and integrity in all research practices.
- ➤ Conduct Regular Audits: Schedule audits to ensure compliance with ethical standards.
- ➤ **Provide Training:** Offer training on ethical research practices.
- ➤ Report and Address Issues: Create channels for reporting and addressing ethical concerns in research.

#### **Thought Process:**

- Prioritize the rights and safety of research participants.
- Maintain high standards of transparency and integrity in research.
- Regularly review and update ethical practices in research.

#### 35. Ethical Issues in Healthcare

#### Step-by-Step Action Plan:

➤ **Protect Patient Rights:** Ensure that patient rights are respected and protected at all times.

- ➤ Obtain Informed Consent: Make sure patients give informed consent for all treatments and procedures.
- ➤ Maintain Confidentiality: Uphold strict confidentiality of patient information.
- ➤ **Provide Ethical Training:** Train healthcare workers on ethical issues in healthcare.
- ➤ Implement Reporting Mechanisms: Set up channels for reporting unethical practices in healthcare.
- Regularly Review Policies: Continuously review and update healthcare policies to ensure they remain ethical.

- Prioritize Patient Welfare and Rights: Always place the well-being and rights of patients at the forefront of healthcare practices.
- ➤ Ensure Transparency and Informed Consent: Make sure patients are fully informed about their treatments and consent is obtained before proceeding.
- ➤ Maintain Confidentiality: Respect and protect the privacy of patient information at all times.
- ➤ Continuous Ethical Training: Keep healthcare workers informed and trained on the latest ethical standards and practices.
- Encourage Reporting and Accountability: Promote a culture where unethical practices are reported and addressed promptly.
- ➤ Regular Policy Reviews: Continuously assess and improve healthcare policies to uphold the highest ethical standards.

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### Probity in Governance: Previous Year Questions

	It is often said that 'politics' and 'ethics' do not go together. What is your opinion in this regar Justify your answer with illustrations. (2013)
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2.	What do you understand by 'probity' in public life? What are the difficulties in practicing it in the present times? How can these difficulties be overcome? (2014)

	affluent and powerful people indulge in corruption in a big way. What are the basic cause corruption among people? Support your answer with examples.
	(2014)
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4.	Some recent developments such as introduction of RTI Act, media and judicial activism, etc. are proving helpful in bringing about greater transparency and accountability in the functioning of the government. However, it is also being observed that at times the mechanisms are misused. Another negative effect is that the officers are now afraid to take prompt decisions. Analyse the situation in detail and suggest how the dichotomy can be resolved. Suggest how these negative impacts can be minimised. (2015)

5.	Today we find that in-spite of various measures of prescribing codes of conduct, setting up vigilance cells/commissions, RTI, active media and strengthening of legal mechanism, corrupt practices are not coming under control. (2015)
	(a) Evaluate the effectiveness of these measures with justifications.
	(b) Suggest more effective strategies to tackle this menace.

#### IAS MAINS 2025: THINK IN THEMES

6.	What govern (2016	nance'?	understand	by	the	terms	'governance',	'good	governance'	and	'ethical

7.	Discuss the Commission. (2016)	Service	Code	as	recomn	nended	by	the	2 <sup>nd</sup>	Administrative	Reforms

#### IAS MAINS 2025: THINK IN THEMES

8.	What is meant by public interest? What are the principles and procedures to be followed by th civil servants in public interest? (2018)

9.	"The Right to Information Act is not all about citizens' empowerment alone, it essentially redefines the concept of accountability. Discuss. (2018)

#### IAS MAINS 2025: THINK IN THEMES

Distinguish between "Code of ethics" and "Code of conduct" with suitable examples. (2018)

11.	What do you understand by probity in governance? Based on your understanding of the term suggest measures for ensuring probity in government. (2019)

12.	There is a view that the official secrets act is an obstacle to the implementation of the Rights to Information act. Do you agree with the view? Discuss. (2019)		

13. Explain the basic principles of citizens' charter movement and bring out its import		
	(2019)	

4.	Effective utilization of public funds is crucial to meet development goals. Critically examine treasons for under-utilization and mis- utilization of public funds and their implications. (2019)

15.	"Non-performance of duty by a public servant is a form of corruption". Do you agree with this view? Justify your answer. (2019)

### IAS MAINS 2025: THINK IN THEMES

16.	Wisdom lies in knowing what to reckon with and what to overlook. An officer being engrossed with the periphery, ignoring the core issues before him, is no rare in the bureaucracy. Do you agree that such preoccupation of an administrator leads to travesty of justice to the cause of effective service delivery and good governance? Critically evaluate.  (2022)		

17.	What do you understand by term 'good governance'? How far recent initiatives in terms of e-Governance steps taken by the State have helped the beneficiaries? Discuss with suitable examples.  (2022)

18.	<ul><li>Write short notes on the following in 30 words each: (2022)</li></ul>			
	(a) Probity in public life			
	(b) Challenges of digitalization			

19.	'Probity is essential for an effective system of governance and socio- economic development. Discuss. (2023)

### IAS MAINS 2025: THINK IN THEMES

<ol> <li>Explain the term social capital. How does it enhance good governance?</li> <li>(2023)</li> </ol>	

Theme	Key Focus	Example Question
Understanding Emotional Intelligence	Recognizing and understanding one's own emotions and the emotions of others to improve decision-making and relationships in the workplace.	What is emotional intelligence, and why is it crucial for a civil servant to develop it?
Self-Awareness	The ability to recognize one's emotions and their effects on others. It's foundational for self-regulation and empathy.	Discuss the role of self-awareness in emotional intelligence and its importance in public service.
Self-Regulation	Managing one's emotions in healthy ways, staying calm and in control even during stressful situations.	How can self-regulation help a civil servant in handling complex situations effectively?
Empathy	The ability to understand and share the feelings of others, fostering better communication and stronger relationships.	How can empathy be applied in governance to ensure fair and just decision-making?
Social Skills	Developing effective interpersonal skills to navigate social complexities and foster collaborative relationships.	How can a civil servant use social skills to work effectively in teams?
Motivation	Harnessing emotions to stay focused on achieving goals, even in the face of challenges.	What role does intrinsic motivation play in enhancing the performance of a civil servant?
Emotional Intelligence in Leadership	How emotional intelligence is vital in leadership roles, helping civil servants lead with empathy, wisdom, and patience.	How can emotional intelligence enhance leadership in public administration?
Impact on Decision-Making	The role of emotional intelligence in making balanced and well-considered decisions that factor in both logic and emotion.	Examine how emotional intelligence influences decision-making in the context of policy formulation.
Conflict Management	Using emotional intelligence to mediate conflicts and maintain harmony within teams or between stakeholders.	How can emotional intelligence be used to resolve conflicts in a bureaucratic setup?
Stress Management	Managing stress and maintaining well-being, essential for performance and mental health in high-pressure situations.	Discuss the role of emotional intelligence in managing stress for civil servants.
Building Trust and Cooperation	Emotional intelligence helps build trust among colleagues and with the public, facilitating cooperation and collaboration.	How can emotional intelligence foster trust and cooperation within a civil service environment?

Theme	Key Focus	Example Question
Resilience and Adaptability	Developing resilience and the ability to adapt to changing environments, crucial for maintaining performance during challenges.	How does emotional intelligence support resilience and adaptability in civil services?
Cultural Sensitivity and Emotional Intelligence	The role of emotional intelligence in understanding and respecting diverse cultural backgrounds and fostering inclusive work environments.	How can emotional intelligence help civil servants navigate cultural diversity in the workplace?
Ethics and Emotional Intelligence	The connection between emotional intelligence and ethical decisionmaking, ensuring that emotions are used for the common good.	How does emotional intelligence influence ethical decision-making in the public service?
Development of Emotional Intelligence	Strategies for civil servants to develop and enhance their emotional intelligence, including training and self-reflection.	What are some effective strategies to develop emotional intelligence for civil servants?

#### **Key Insights**

- Emotional intelligence: Emotional intelligence (EI) involves the awareness of one's emotions and the emotions of others, which is crucial for civil servants to manage interactions and decision-making in public service effectively.
- Self-Awareness: The foundation of El, selfawareness helps civil servants recognize their emotional states and understand how these impact their professional interactions, leading to better control and decisionmaking.
- Self-Regulation: Self-regulation allows civil servants to control impulses, manage emotional responses, and maintain professionalism in challenging situations, crucial for consistent performance.
- Empathy: Empathy allows civil servants to put themselves in others' shoes, creating stronger, more compassionate relationships with colleagues and the public. This fosters fair decision-making and social harmony.
- Social Skills: The ability to work effectively with others, resolve conflicts, and communicate efficiently is enhanced by strong social skills. These are vital for civil servants in a collaborative environment.

- Motivation: Civil servants with high emotional intelligence are driven by intrinsic motivation, leading them to work towards public goals with passion and resilience, even in difficult times.
- Emotional Intelligence in Leadership: Leaders with emotional intelligence can lead with empathy and patience, inspiring others to perform at their best while maintaining a balanced approach to challenges.
- Impact on Decision-Making: El supports balanced, thoughtful decision-making by incorporating both logical reasoning and emotional insight, helping civil servants make decisions that consider all stakeholder perspectives.
- Conflict Management: Civil servants can use emotional intelligence to navigate complex interpersonal dynamics, resolving conflicts and fostering teamwork, which is essential in the public administration sector.
- Stress Management: Emotional intelligence equips civil servants to manage stress effectively, maintaining their mental wellbeing and job performance during highpressure situations.
- **Building Trust and Cooperation:** By understanding and managing emotions,

- e civil servants can foster trust, cooperation, and collaboration within teams and with the public, ensuring smooth governance.
- Resilience and Adaptability: El helps civil servants bounce back from setbacks and adapt to new challenges, ensuring sustained performance and growth in ever-changing administrative environments.
- **Cultural Sensitivity and Emotional Intelligence:** El helps civil servants navigate cultural diversity with sensitivity and respect, essential in managing the diverse populations they serve.
- EthicsandEmotionalIntelligence:Emotional intelligence fosters ethical decision-making by ensuring civil servants act with empathy, fairness, and integrity, considering the emotional and social implications of their decisions.
- Development of Emotional Intelligence:
  Civil servants can enhance their emotional intelligence through training, self-reflection, and experience, leading to better performance, decision-making, and interpersonal relationships.
- Themes, Sub-Themes, and Previous Year & Example Questions

#### Theme 1: Understanding Emotional Intelligence

- **Sub-Theme 1.1**: Definition and Significance of Emotional Intelligence
  - What do you understand by 'emotional intelligence'? How can it be developed in people? How does it help an individual in taking ethical decisions?
- Sub-Theme 1.2: El in Decision-Making
  - What is the role of emotional intelligence in governance? How can emotional intelligence be used to improve ethical decision-making in the public sector?

# 2. Theme 2: Components and Applications of Emotional Intelligence

- **Sub-Theme 2.1**: Core Components of El (Self-Awareness, Empathy, etc.)
  - ➤ Identify five ethical traits on which one can plot the performance of a civil servant. Justify their inclusion in the matrix. (Though indirectly related, traits like empathy and selfawareness align with El.)
- **Sub-Theme 2.2**: Application of El in Public Administration

Emotional intelligence is a critical trait for a public servant. Illustrate with suitable examples how this trait can improve service delivery in public administration.

#### 3. Theme 3: El in Personal and Professional Contexts

- **Sub-Theme 3.1**: Role of El in Personal Development
  - The current society is plagued with widespread trust-deficit. What are the consequences of this situation for personal well-being and for societal well-being? What can you do at the personal level to make yourself trustworthy? (Indirectly related: Developing trust involves EI skills like empathy and self-regulation.)
- **Sub-Theme 3.2**: El in Conflict Resolution
  - ▶ It is believed that adherence to ethics in human actions would ensure the smooth functioning of an organization/system. If so, what does ethics seek to promote in human life? How do ethical values assist in the resolution of conflicts faced by individuals in their day-today functioning? (Conflict resolution is often linked to El.)

#### 1. Theme 4: El in Leadership

- **Sub-Theme 4.1**: El as a Leadership Trait
  - Leadership is not about managing others; it is about managing oneself.
     Discuss in the context of emotional intelligence.
- **Sub-Theme 4.2**: Role of El in Crisis Management
  - ➤ Discuss the role of emotional intelligence in managing public crises, such as during a natural disaster or a pandemic. How can El help maintain trust and morale among affected communities?

# 2. Theme 5: Developing Emotional Intelligence

- Sub-Theme 5.1: Methods for Enhancing El in Individuals
  - What do you understand by emotional intelligence? How can it be developed in people?

- Sub-Theme 5.2: El Training for Public Servants
  - Suggest a framework for incorporating emotional intelligence training into the civil services training program. Discuss its potential impact on governance.

#### 3. Theme 6: Ethical Dimensions of El

- **Sub-Theme 6.1**: El & Ethical Governance
  - What is the role of emotional intelligence in governance? How can emotional intelligence be used to improve ethical decision-making in the public sector?
- **Sub-Theme 6.2**: El and Ethical Leadership
  - ▶ Integrity is a value that empowers the human being. Justify with suitable illustration. (This question indirectly relates to El's role in promoting ethical leadership through self-awareness and moral reasoning.)

Summary of Key Themes			
Themes	Sub-Themes		
Understanding EI	Definition, significance, role in decision-making		
Components of EI	Core traits, application in public administration		
EI in Personal Contexts	Trust, well-being, conflict resolution		
EI in Leadership	Leadership and crisis management		
Developing EI	Methods, training for civil servants		
Ethical Dimensions of EI	EI in governance, ethical leadership		

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Theme	Key Focus	Example Question
Understanding Attitude	Attitude refers to an individual's mindset or disposition towards people, situations, and events, which directly influences behavior and decision-making.	What is the role of attitude in shaping the behavior of a civil servant?
Positive Attitude	The importance of maintaining a positive outlook to overcome challenges, foster collaboration, and inspire others in the workplace.	How does a positive attitude help civil servants in achieving effective governance?
Professional Attitude	Developing a professional demeanor that reflects dedication, ethical values, and commitment to public service.	Discuss how professional attitude is crucial for a civil servant's success in their duties.
Growth Mindset	Adopting a mindset focused on learning, self-improvement, and perseverance, particularly in the context of lifelong learning for civil servants.	How does a growth mindset contribute to personal and professional growth in civil services?
Accountability	The significance of being accountable for one's actions, decisions, and responsibilities, especially in public office.	Discuss the importance of accountability for civil servants in ensuring transparency and efficiency in governance.
Adaptability and Flexibility	The ability to adjust to changing environments and circumstances, particularly when faced with new challenges or reforms in governance.	Why is adaptability and flexibility an essential trait for civil servants in an ever-evolving administrative landscape?
Empathy and Compassion	The importance of showing empathy towards citizens, especially vulnerable groups, to ensure that policies and decisions are inclusive and just.	How does empathy play a role in shaping inclusive and equitable policies by civil servants?
Integrity and Ethics	Maintaining integrity and adhering to ethical principles even in difficult situations, ensuring trust in public institutions.	What role does integrity play in the public service system, and how can it be maintained by civil servants?
Commitment to Public Service	The attitude of being dedicated to serving the public interest, working towards the welfare of society, and prioritizing social good.	How can a civil servant demonstrate a deep commitment to public service and work towards nationbuilding?
Courage and Conviction	The importance of having the courage to take difficult decisions and stand by them, even when they may be unpopular or risky.	Discuss how courage and conviction help civil servants uphold justice and fairness in their decision-making.
Objectivity and Neutrality	The role of maintaining objectivity and neutrality in decision-making, especially in politically sensitive environments.	How does maintaining objectivity and neutrality contribute to fair and unbiased governance?

Theme	Key Focus	Example Question
Respect for Diversity	The importance of respecting cultural, social, and ideological differences within the population, especially in diverse countries like India.	Why is respect for diversity essential for a civil servant, especially in the Indian context?
Optimism and Resilience	The ability to remain optimistic and resilient in the face of adversity, ensuring that challenges do not hinder progress or performance.	How can optimism and resilience enable civil servants to deal with setbacks and work effectively under pressure?
Attitude Towards Innovation	Embracing innovation and technological advancements to improve governance and public service delivery.	Discuss the importance of having a positive attitude towards innovation and its impact on improving public administration.
Collaboration and Teamwork	The ability to collaborate effectively with other professionals and departments, ensuring that goals are met collectively.	How does a collaborative attitude help in achieving better governance and policy implementation?
Public Trust and Relationship Building	The importance of building trust with the public through consistent and transparent actions and communication.	Discuss how a positive attitude towards public trust and relationship building can enhance civil servants' effectiveness in governance.
Work Ethic and Discipline	The significance of maintaining a strong work ethic, discipline, and punctuality, ensuring efficient and effective performance.	How do strong work ethics and discipline contribute to better administrative functioning and governance?

#### **Key Insights**

- Understanding Attitude: Attitude refers to a civil servant's approach to work, people, and problems. It shapes their responses and actions, influencing their professional behaviour and the way they are perceived by others.
- Positive Attitude: Maintaining a positive attitude enables civil servants to face challenges with resilience, inspire teamwork, and contribute to effective public service.
- Professional Attitude: A professional attitude reflects dedication, responsibility, and commitment to the ethical standards of public service, ensuring that civil servants perform their duties with integrity and responsibility.
- Growth Mindset: Civil servants with a growth mindset are focused on continuous learning and improvement. This mindset helps them adapt to new

- situations, enhance their skills, and contribute effectively to governance.
- Accountability: Being accountable for one's actions builds trust with the public and ensures transparency in decision-making, making accountability a key attitude for civil servants.
- Adaptability and Flexibility: In a dynamic environment, civil servants must be adaptable and flexible, especially in times of policy change or when addressing new challenges. This attitude ensures that they can meet evolving demands.
- Empathy and Compassion: Civil servants should approach their work with empathy, understanding the needs of different groups, and ensuring that their policies and decisions serve the public equitably.
- Integrity and Ethics: A civil servant's integrity is foundational for public trust. Ethical behavior, especially in difficult

- situations, ensures the legitimacy and fairness of administrative actions.
- commitment to Public Service: Civil servants must be dedicated to serving the people and working toward societal well-being. Their commitment is evident in their actions that prioritize public interest above personal gain.
- Courage and Conviction: Civil servants must have the courage to make difficult decisions, defend their convictions, and uphold justice, even when faced with opposition or adverse circumstances.
- Objectivity and Neutrality: Civils ervants must approach issues without bias, maintaining objectivity and neutrality, especially in politically sensitive matters, ensuring fairness and justice.
- Respect for Diversity: Recognizing and respecting cultural, social, and ideological differences is essential in India's diverse context. Civil servants must cultivate an inclusive attitude toward all citizens.
- Optimism and Resilience: Civil servants must stay optimistic and resilient,

- especially when facing setbacks or working under pressure. This attitude helps them maintain productivity and ensure effective governance.
- Embracing innovation helps civil servants improve service delivery, adapt to new technologies, and modernize governance practices for better public service.
- collaboration and Teamwork: A collaborative attitude promotes effective teamwork and interdepartmental coordination, ensuring that collective goals are met and public services are delivered efficiently.
- Public Trust and Relationship Building: Trust-building is key in public administration. A positive attitude towards communication, transparency, and responsiveness fosters strong relationships with the public.
- work Ethic and Discipline: Civil servants must maintain strong work ethics and discipline, which ensures efficiency, punctuality, and a high standard of service delivery in the public sector.



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